

Activity ID	CAP Action Items	Responsible Person	Start	Finish	FY2006				FY2007				FY2008
					Q2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	
LBNL ES & H Corrective Action Plan					02-Jan-06 A								03-Dec-07
CA Category #1 - Line Management Execution of ES & H					17-Apr-06 A								27-Nov-07
Root Cause 1.1.1													
1.1.1	Root Cause 1.1.1 - Standards, policies and/or administrative controls (SPAC) lack detail, are confusing and incomplete, or do not exist. In addition, the SPACs in place are not strict enough and poorly enforced.												
1.1.1.01	Senior management walk-arounds are spotty and vary from once a year to twice a day. Refer to the Corrective Action for Root Cause 1.1.3 under Correction Action Category #1.				Refer to the Corrective Action for Root Cause 1.1.3 under CA Category #1.								
Root Cause 1.1.2													
1.1.2	Root Cause 1.1.2 - The need for training of line managers to effectively carry out their safety oversight responsibilities has not been effectively analyzed.												
1.1.2.01	Principal Investigators do not appear to be well trained and prepared for their line management responsibilities. Refer to to CA for Root Cause 3.1.1 under Corrective Action Category #3.				Refer to to Corrective Action for Root Cause 3.1.1 under CA Category #3								
Root Cause 1.1.3					01-Jun-06								27-Nov-07
1.1.3	Root Cause 1.1.3 - Line management accountability for enforcement of safety practices and procedures is less than adequate												
1.1.3.01a	Define line management and their roles and responsibilities in the appropriate section of the RPM.	Chu	01-Jun-06*	30-Aug-06									
1.1.3.01b	Define safety roles and responsibilities for line management in Chapter 1 of PUB 3000	Hatayama	01-Jun-06	30-Aug-06									
1.1.3.02	Obtain SRC concurrence for policy changes to line management definition and roles and responsibilities.	Chu	31-Aug-06	13-Oct-06									
1.1.3.03	Revise the current mandatory PRD ES&H evaluation criteria for managers to reflect changes in PUB 3000.	Chu	16-Oct-06	01-Dec-06									
1.1.3.04a	Provide a template for Division ISM plans that will enable Divisions to upgrade ISM Plans to reflect changes in PUB 3000.	Hatayama	04-Dec-06	15-Jan-07									
1.1.3.04b	Divisions develop ISM plans that will enable them to meet new guidance of PUB 3000.	Chu	15-Dec-06*	01-Mar-07									

- Actual Work
- Remaining Work
- Critical Remaining Work

2006 ISMS Peer Review Corrective Action Plan Schedule

Lawrence Berkeley National Laboratory

CAP Schedule - By Corrective Action Cate 01-Jun-06



